Quantitative genetics: Variance components and heritability

Bio 550D: Morphometrics in Biology

Joe Felsenstein

11 October 2016
Empirical statistical models (their “Law of Ancestral Heredity”) by Galton and Pearson from 1889 on ... were overwhelmed by R. A. Fisher’s 1918 Mendelian tour-de-force ... which Jay Lush and his colleagues made the center of modern animal and plant breeding
We use the standard quantitative genetic model

\[ P = \mu + \sum \begin{cases} \text{AA} & -2 \\ Aa & 0 \\ aa & 3 \end{cases} + \sum \begin{cases} \text{BB} & 0.6 \\ Bb & 0.1 \\ bb & -0.2 \end{cases} + \sum \begin{cases} \text{CC} & -1 \\ Cc & 6 \\ cc & 6 \end{cases} + \sum \begin{cases} \text{DD} & 0.3 \\ Dd & 0.3 \\ dd & 0.7 \end{cases} + \sum \begin{cases} \text{EE} & -0.4 \\ Ee & 0.3 \\ ee & -0.3 \end{cases} + \text{environmental effect} \]

arbitrary starting point (4)

AA  Bb  Cc  dd  Ee
Aa  bb  cc  DD  ee
aa  bb  CC  DD  Ee
aa  bb  Cc  DD  EE
Aa  Bb  Cc  DD  Ee

4 + 0.3

E  A  c  d  B
---  ---  ---  ---  ---
e  A  C  d  b
We use the standard quantitative genetic model

\[ P = \mu + \left\{ \begin{array}{c}
AA & -2 \\
Aa & 0 \\
aa & 3 \\
\end{array} \right\} + \left\{ \begin{array}{c}
BB & 0.6 \\
Bb & 0.1 \\
bb & -0.2 \\
\end{array} \right\} + \left\{ \begin{array}{c}
CC & -1 \\
Cc & 6 \\
cC & 6 \\
cc & 6 \\
\end{array} \right\} + \left\{ \begin{array}{c}
DD & 0.3 \\
Dd & 0.3 \\
dD & 0.7 \\
dd & 0.7 \\
\end{array} \right\} + \left\{ \begin{array}{c}
EE & -0.4 \\
Ee & 0.3 \\
eE & 0.3 \\
ee & -0.3 \\
\end{array} \right\} + \text{environmental effect} \]

arbitrary starting point (4)

\[ 4 + 0.3 - 2 \]
We use the standard quantitative genetic model

\[ P = \mu + \left\{ \begin{array}{c} AA \ 2 \\ Aa \ 0 \\ aa \ 3 \end{array} + \left\{ \begin{array}{c} BB \ 0.6 \\ Bb \ 0.1 \\ bb \ -0.2 \end{array} + \left\{ \begin{array}{c} CC \ -1 \\ Cc \ 6 \end{array} + \left\{ \begin{array}{c} DD \ 0.3 \\ Dd \ 0.3 \\ dd \ 0.7 \end{array} + \left\{ \begin{array}{c} EE \ -0.4 \\ Ee \ 0.3 \end{array} \right\} \right\} \right\} + \text{environmental effect} \]

arbitrary starting point (4)

<table>
<thead>
<tr>
<th>AA</th>
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<td>Aa</td>
<td>Bb</td>
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<td>Ee</td>
</tr>
</tbody>
</table>

\[ 4 + 0.3 - 2 + 6 \]

\[ E \quad A \quad c \quad d \quad B \]

\[ e \quad A \quad C \quad d \quad \quad \quad b \]
We use the standard quantitative genetic model

\[ P = \mu + \begin{cases} \text{AA} -2 \\ Aa 0 \\ aa 3 \end{cases} + \begin{cases} \text{BB} 0.6 \\ Bb 0.1 \\ bb -0.2 \end{cases} + \begin{cases} \text{CC} -1 \\ Cc 6 \\ cc 6 \end{cases} + \begin{cases} \text{DD} 0.3 \\ Dd 0.3 \\ dd 0.7 \end{cases} + \begin{cases} \text{EE} -0.4 \\ Ee 0.3 \\ ee -0.3 \end{cases} + \text{environmental effect} \]

arbitrary starting point (4)

\[
\begin{align*}
\text{AA} & \quad \text{Bb} & \quad \text{Cc} & \quad \text{dd} & \quad \text{Ee} \\
\text{Aa} & \quad \text{bb} & \quad \text{cc} & \quad \text{DD} & \quad \text{ee} \\
\text{aa} & \quad \text{bb} & \quad \text{CC} & \quad \text{DD} & \quad \text{Ee} \\
\text{aa} & \quad \text{bb} & \quad \text{Cc} & \quad \text{DD} & \quad \text{EE} \\
\text{Aa} & \quad \text{Bb} & \quad \text{Cc} & \quad \text{DD} & \quad \text{Ee}
\end{align*}
\]

\[ 4 + 0.3 - 2 + 6 + 0.7 \]
We use the standard quantitative genetic model

\[ P = \mu + \left\{ \begin{array}{c}
AA \quad -2 \\
Aa \quad 0 \\
aa \quad 3 \\
\end{array} \right\} + \left\{ \begin{array}{c}
BB \quad 0.6 \\
Bb \quad 0.1 \\
bb \quad -0.2 \\
\end{array} \right\} + \left\{ \begin{array}{c}
CC \quad -1 \\
Cc \quad 6 \\
cc \quad 6 \\
\end{array} \right\} + \left\{ \begin{array}{c}
DD \quad 0.3 \\
Dd \quad 0.3 \\
dd \quad 0.7 \\
\end{array} \right\} + \left\{ \begin{array}{c}
EE \quad -0.4 \\
Ee \quad 0.3 \\
ee \quad -0.3 \\
\end{array} \right\} + \text{environmental effect} \]

arbitrary starting point (4)

AA  Bb  Cc  dd  Ee
Aa  bb  cc  DD  ee
aa  bb  CC  DD  Ee
aa  bb  Cc  DD  EE
Aa  Bb  Cc  DD  Ee

4 + 0.3 - 2 + 6 + 0.7 + 0.1
... which leads to various character values

$$P = \mu + \left\{ \begin{array}{ccc} AA & -2 \\ Aa & 0 \\ aa & 3 \end{array} \right\} + \left\{ \begin{array}{ccc} BB & 0.6 \\ Bb & 0.1 \\ bb & -0.2 \end{array} \right\} + \left\{ \begin{array}{ccc} CC & -1 \\ Cc & 6 \\ cc & 6 \end{array} \right\} + \left\{ \begin{array}{ccc} DD & 0.3 \\ Dd & 0.3 \\ dd & 0.7 \end{array} \right\} + \left\{ \begin{array}{ccc} EE & -0.4 \\ Ee & 0.3 \\ ee & -0.3 \end{array} \right\} + \text{environmental effect}$$

arbitrary starting point (4)

<table>
<thead>
<tr>
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</table>

$$4 + 0.3 - 2 + 6 + 0.7 + 0.1 + 0.9$$
... which leads to various character values

\[ P = \mu + \left\{ \begin{array}{ccc}
\text{AA} & -2 \\
\text{Aa} & 0 \\
\text{aa} & 3
\end{array} \right\} + \left\{ \begin{array}{ccc}
\text{BB} & 0.6 \\
\text{Bb} & 0.1 \\
\text{bb} & -0.2
\end{array} \right\} + \left\{ \begin{array}{ccc}
\text{CC} & -1 \\
\text{Cc} & 6 \\
\text{cc} & 6
\end{array} \right\} + \left\{ \begin{array}{ccc}
\text{DD} & 0.3 \\
\text{Dd} & 0.3 \\
\text{dd} & 0.7
\end{array} \right\} + \left\{ \begin{array}{ccc}
\text{EE} & -0.4 \\
\text{Ee} & 0.3 \\
\text{ee} & -0.3
\end{array} \right\} + \text{environmental effect} \]

arbitrary starting point (4)

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<td>Bb</td>
<td>Cc</td>
<td>DD</td>
<td>Ee</td>
</tr>
</tbody>
</table>

\[
4 - 0.3 + 0 + 6 + 0.3 - 0.2 - 1.0
\]
... which leads to various character values

\[ P = \mu + \left\{ \begin{array}{c}
AA \quad -2 \\
Aa \quad 0 \\
aa \quad 3 
\end{array} \right\} + \left\{ \begin{array}{c}
BB \quad 0.6 \\
Bb \quad 0.1 \\
b \quad -0.2 
\end{array} \right\} + \left\{ \begin{array}{c}
CC \quad -1 \\
Cc \quad 6 \\
C \quad 6 
\end{array} \right\} + \left\{ \begin{array}{c}
DD \quad 0.3 \\
Dd \quad 0.3 \\
D \quad 0.7 
\end{array} \right\} + \left\{ \begin{array}{c}
EE \quad -0.4 \\
Ee \quad 0.3 \\
E \quad -0.3 
\end{array} \right\} + \text{environmental effect} \]

arbitrary starting point (4)

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<td>ee</td>
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<td>DD</td>
<td>EE</td>
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<td>Bb</td>
<td>Cc</td>
<td>DD</td>
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</tbody>
</table>

\[ 4 + 0.3 + 3 - 1 + 0.3 - 0.2 + 0.1 \]

[Quantitative genetics: Variance components and heritability – p.10/30]
... which leads to various character values

\[ P = \mu + \left\{ \begin{array}{c} AA \\ Aa \\ aa \end{array} \begin{array}{c} -2 \\ 0 \\ 3 \end{array} \right\} + \left\{ \begin{array}{c} BB \\ Bb \\ bb \end{array} \begin{array}{c} 0.6 \\ 0.1 \\ -0.2 \end{array} \right\} + \left\{ \begin{array}{c} CC \\ Cc \\ cc \end{array} \begin{array}{c} -1 \\ 6 \\ 6 \end{array} \right\} + \left\{ \begin{array}{c} DD \\ Dd \\ dd \end{array} \begin{array}{c} 0.3 \\ 0.3 \\ 0.7 \end{array} \right\} + \left\{ \begin{array}{c} EE \\ Ee \\ ee \end{array} \begin{array}{c} -0.4 \\ 0.3 \\ -0.3 \end{array} \right\} + \text{environmental effect} \]

arbitrary starting point (4)

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<th>bb</th>
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<tr>
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<tr>
<th>aa</th>
<th>bb</th>
<th>Cc</th>
<th>DD</th>
<th>EE</th>
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<tbody>
<tr>
<td>12.2</td>
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<table>
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<tr>
<th>Aa</th>
<th>Bb</th>
<th>Cc</th>
<th>DD</th>
<th>Ee</th>
</tr>
</thead>
</table>

4 \(-0.4\) + 3 + 6\(+0.3\) - 0.2 - 0.5

Quantitative genetics: Variance components and heritability – p.11/30
... which leads to various character values

\[ P = \mu + \left\{ \begin{array}{c}
AA -2 \\
Aa -0 \\
aa 3 \\
\end{array} \right\} + \left\{ \begin{array}{c}
BB 0.6 \\
Bb 0.1 \\
bb -0.2 \\
\end{array} \right\} + \left\{ \begin{array}{c}
CC -1 \\
Cc 6 \\
cc 6 \\
\end{array} \right\} + \left\{ \begin{array}{c}
DD 0.3 \\
Dd 0.3 \\
dd 0.7 \\
\end{array} \right\} + \left\{ \begin{array}{c}
EE -0.4 \\
Ee 0.3 \\
ee -0.3 \\
\end{array} \right\} + \text{environmental effect} \]

arbitrary starting point (4)

\[
\begin{align*}
AA & \quad Bb & \quad Cc & \quad dd & \quad Ee & \quad 10 \\
Aa & \quad bb & \quad cc & \quad DD & \quad ee & \quad 8.8 \\
aa & \quad bb & \quad CC & \quad DD & \quad Ee & \quad 6.5 \\
aa & \quad bb & \quad Cc & \quad DD & \quad EE & \quad 12.2 \\
Aa & \quad Bb & \quad Cc & \quad DD & \quad Ee & \quad 8.9 \\
\end{align*}
\]

\[4 + 0.3 + 0 + 6 + 0.3 + 0.1 - 1.8\]
... to make a 0/1 character apply a threshold of 9

\[
P = \mu + \left\{ \begin{array}{c}
AA & -2 \\
Aa & 0 \\
aa & 3
\end{array} \right\} + \left\{ \begin{array}{c}
BB & 0.6 \\
Bb & 0.1 \\
bb & -0.2
\end{array} \right\} + \left\{ \begin{array}{c}
CC & -1 \\
Cc & 6 \\
c c & 6
\end{array} \right\} + \left\{ \begin{array}{c}
DD & 0.3 \\
Dd & 0.3 \\
d d & 0.7
\end{array} \right\} + \left\{ \begin{array}{c}
EE & -0.4 \\
Ee & 0.3 \\
e e & -0.3
\end{array} \right\} + \text{environmental effect}
\]

<table>
<thead>
<tr>
<th>Genotype</th>
<th>Phenotype</th>
<th>( P )</th>
<th>( P &gt; 9 )</th>
</tr>
</thead>
<tbody>
<tr>
<td>AA Bb Cc dd Ee</td>
<td>10</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Aa bb cc DD ee</td>
<td>8.8</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>aa bb CC DD Ee</td>
<td>6.5</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>aa bb Cc DD EE</td>
<td>12.2</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Aa Bb Cc DD Ee</td>
<td>8.9</td>
<td>0</td>
<td></td>
</tr>
</tbody>
</table>

(This is Sewall Wright's threshold model, a polygenic model of discrete characters).
A single mendelian locus with environmental effects

In this simulation, each genotype has background environmental variance distributed in a lognormal distribution. Those environmental variables get larger as we move from the top figure downwards.
Many mendelian loci and environmental effects

(Each locus is dominant and all have the same effect and same gene frequency, but with the effect sizes scaled in each case to have the same total genetic variance and to have 30% of the phenotypic variance be genetic).
A two-locus model with some interaction between loci

Means of the genotypes

<table>
<thead>
<tr>
<th></th>
<th>BB</th>
<th>Bb</th>
<th>bb</th>
</tr>
</thead>
<tbody>
<tr>
<td>AA</td>
<td>6.5</td>
<td>6</td>
<td>4</td>
</tr>
<tr>
<td>Aa</td>
<td>5.5</td>
<td>5</td>
<td>3.5</td>
</tr>
<tr>
<td>aa</td>
<td>4.5</td>
<td>3</td>
<td>2</td>
</tr>
</tbody>
</table>

Greater (lognormal) environmental variance as one moves from the top figure to the bottom.
R. A. Fisher (1918) defined additive effects $\alpha_i$ and dominance deviations $\delta_{ij}$ by fitting a straight line through the genotypic mean phenotypes, with points weighted by their Hardy-Weinberg population frequency.
Fisher’s regression partitions the variance

Fisher’s model assumes
- Random mating, so Hardy-Weinberg proportions
Fisher’s regression partitions the variance

Fisher’s model assumes
- Random mating, so Hardy-Weinberg proportions
- Linkage equilibrium between all loci
Fisher’s regression partitions the variance

Fisher’s model assumes
- Random mating, so Hardy-Weinberg proportions
- Linkage equilibrium between all loci
- Environmental effects independent of the genotype
Fisher’s regression partitions the variance

Fisher’s model assumes

- Random mating, so Hardy-Weinberg proportions
- Linkage equilibrium between all loci
- Environmental effects independent of the genotype
- Environmental effects independent in different individuals
Fisher’s regression partitions the variance

Fisher’s model assumes
- Random mating, so Hardy-Weinberg proportions
- Linkage equilibrium between all loci
- Environmental effects independent of the genotype
- Environmental effects independent in different individuals
- No interaction between loci (effects are additive between loci)
So the following are uncorrelated in the population

- The two $\alpha$’s in a locus (by Hardy-Weinberg)
So the following are uncorrelated in the population

- The two $\alpha$’s in a locus (by Hardy-Weinberg)
- The $\alpha$’s and the $\delta$ in a locus, as a result of having defined them by the weighted least squares regression (trust me)
So the following are uncorrelated in the population

- The two $\alpha$’s in a locus (by Hardy-Weinberg)
- The $\alpha$’s and the $\delta$ in a locus, as a result of having defined them by the weighted least squares regression (trust me)
- All the effects ($\alpha$’s and $\delta$’s) at different loci (by linkage equilibrium)
So the following are uncorrelated in the population

- The two $\alpha$’s in a locus (by Hardy-Weinberg)
- The $\alpha$’s and the $\delta$ in a locus, as a result of having defined them by the weighted least squares regression (trust me)
- All the effects ($\alpha$’s and $\delta$’s) at different loci (by linkage equilibrium)
- The environmental effect (by independence of the environment from the genotype)

So the phenotype is a sum of terms, all of which are uncorrelated (have zero covariance):

\[
P = \mu + \alpha_i^{(1)} + \alpha_j^{(1)} + \delta_{ij}^{(1)} + \alpha_k^{(2)} + \alpha_\ell^{(2)} + \delta_{k\ell}^{(2)} + \alpha_m^{(3)} + \alpha_n^{(3)} + \delta_{mn}^{(3)} + \ldots + \epsilon
\]
Rearranging and adding up variances

\[ P = \mu + (\alpha^{(1)} + \alpha^{(1)} + \alpha^{(2)} + \alpha^{(2)} + \alpha^{(3)} + \alpha^{(3)} + \ldots) \]
\[ + (\delta^{(1)} + \delta^{(2)} + \delta^{(3)}) + \ldots + \epsilon \]

Since random variables that are uncorrelated have variances that sum up, we have partitioned the phenotype into four uncorrelated parts (one a nonvarying starting point \( \mu \)),

\[ P = \mu + A + D + E \]

The total “breeding value” A and the total of the dominance deviations D each have a variance (\( V_A \) and \( V_D \)). And those being uncorrelated means that their variances add up too:

\[ \text{Var}(P) = V_A + V_D + V_E \]
Covariances and fraction of shared effects

If $X$, $Y$, and $Z$ are independent random variables,

$$\text{Cov}[X + Y, X + Z] = \text{Var}(X)$$

So the covariance is the variance of the part shared between the two sums.

$$P_x = \mu + (\alpha + \alpha + \alpha + \alpha + \ldots) + (\delta + \delta + \delta + \ldots) + \varepsilon$$

$$P_y = \mu + (\alpha + \alpha + \alpha + \alpha + \ldots) + (\delta + \delta + \delta + \ldots) + \varepsilon$$

If a fraction $f_1$ of the $\alpha$ are shared, that fraction of the variance from them is shared, and similarly for the $\delta$s, and environment is not shared,

$$\text{Cov}(P_x, P_y) = f_1 V_A + f_2 V_D$$
Variance components and ANOVA

Does all this look a lot like analysis of variance (ANOVA)?

It ought to: that was also developed by R. A. Fisher in the same era, and published in the early 1920s, very soon after his 1918 paper.

- Each additive effect is a single-factor (“row” or “column”) effects
- The dominance deviations are two-way interaction effects
- All interaction effects between loci, and between the environment and anything else, have been forced to be zero by the assumed model
- The least squares regression is the same one used to assign fixed effects in ANOVA
Variance components and covariances of relatives

This can be done by computing, from the kind of relationship:

- the probability that a single copy of a gene is also found in the relative, as a result of identity by descent \( f_1 \)
- the probability that both copies at a locus are each found in the relative \( f_2 \)

These give the fraction of \( V_A \) and of \( V_D \) that contribute to the covariance of pairs of relatives.

\[
\text{Cov(\text{this kind of relative})} = f_1 V_A + f_2 V_D
\]

It establishes algebraic relationships between covariances of different kinds of relatives (and the associated correlation coefficients).

The important case for us is parents and offspring \( (f_1 = 1/2, \ f_2 = 0) \):

\[
\text{Cov}(P, O) = \frac{1}{2} V_A
\]
Heritability

Heritability is the fraction of the total phenotypic variance that is from the additive genetic variance $V_A$. Note that

$$h^2 = \frac{V_A}{V_A + V_D + V_E}$$

- It is not the fraction of all variance that is genetic, as it does not include the dominance variance $V_D$
- It depends on the gene frequencies (the weights in the regression) so that it can be different from population to population
- For that matter, it can be different from generation to generation as gene frequencies change by genetic drift and selection
- Which is comforting, since when the population has fixed or lost all alleles, we'd think there would be no genetic variance at all

Heritability is denoted by $h^2$ for historical reasons (Sewall Wright in 1921) and no one ever uses unsquared $h$. 
Expected slope is  \( \frac{\text{Cov}(O, P)}{\text{Var}(P)} = \frac{1}{2} h^2 \).
Mean of offspring of a selected parent

Expected slope is $\frac{\text{Cov}(O, P)}{\text{Var}(P)} = \frac{1}{2} h^2$. When lots of genes of small effect add up, get bivariate normality which implies this linear dependence.
Response to truncation selection on one parent

The bivariate normality of the joint distribution implied this linear dependence. The linearity of expectations of linear dependence guarantees this.
Response to truncation selection on both parents

This response, the previous one but doubled for selection on both parents, is the famous “Breeder’s Equation”:

\[
\text{Response} = \text{heritability} \times \text{selection differential}
\]

(if selection is on both sexes of parent)
References on variance components and heritability


References on variance components and heritability

Chapter drafts of Lynch and Walsh's forthcoming two-volume "Volume 2" are free online at Bruce Walsh's web site:
http://nitro.biosci.arizona.edu/zbook/NewVolume_2/newvol2.html

The first part of "Volume 2" is expected to be published by Sinauer Associates, maybe soon.

Felsenstein, J. 2015. *Theoretical Evolutionary Genetics*. Available as a free download from the Department of Genome Sciences, University of Washington, Seattle at
http://evolution.gs.washington.edu/pgbook/pgbook.html

[My population genetics theory class text; chapter IX covers quantitative genetics theory]